



CHARLIE Q YANG, PH.D., CFP®

EXECUTIVE CONSULTANT – FINTECH & WEALTH MANAGEMENT

EXECUTIVE PROFILE

- Strategic FinTech & Wealth Management executive consultant with 35+ years of leadership experience advising C-suite executives on digital transformation, wealth strategy, and platform modernization.
- Expert in RIA technology, AI integration, client experience innovation, and regulatory compliance.
- Proven ability to scale advisory practices, lead RIA M&A due diligence, and deliver Big Three consulting insights. Recognized as a 5-time Chairman's Club Award Winner.

CONTACT

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- linkedin/in/charlie-q-yang/

EDUCATION

- Ph.D. & M.A.Sc. (AI & Wireless) – University of Victoria, 1993 | Governor General of Canada Gold Medal Winner
- B.S., Electrical Engineering – University of Science & Technology of China

EXPERTISE

- **FinTech & Digital Platforms:** WealthTech, RegTech, InsurTech, AI-driven advisory, mobile payments
- **Wealth Management & Strategy:** Asset management, RIA M&A, retirement planning, HNW client advisory
- **Governance & Risk:** Compliance automation, ESG, AML/KYC, cybersecurity, enterprise risk management

WORK EXPERIENCE

Founder & Executive Consultant | Alpha Financial (AFIEA)

2019 - PRESENT

- Advised on 7 RIA M&A transactions, leading due diligence, technology integration, and strategic alignment.
- Delivered 3,000+ hours of executive advisory sessions to institutional clients, asset managers, and fintech firms.
- Supported Big Three consulting firms with 50+ FinTech and Wealth Management intelligence reports.
- Guided 10+ RIAs through technology selection and adoption, driving client experience transformation.
- Mentored fintech startups in digital growth strategies.

Vice President & Sr. Financial Consultant | Charles Schwab

2006 - 2019

- Built and managed a \$1.2B AUM wealth management practice.
- Designed and deployed WealthTech branch solutions.
- Submitted 37 process improvement proposals to streamline client experience and enhance operational efficiency.
- Achievements: 5-time Chairman's Club Award, 3-time Key Contributor Award, and 2018 Innovation Challenge Winner.

Branch Manager & Sr. Investment Specialist | Charles Schwab

2003 - 2006

- Directed operations of Schwab's #1-ranked APAC branch, expanding market share among global HNW clients.
- Streamlined cross-border processes to deliver seamless wealth management services to international clients.
- Represented Schwab on major financial media outlets as a regional investment strategist.

President & Management Consultant | ACIBA | ISIR

1997 - 2003

- Advised C-suite leaders on international business expansion.
- Invented the first mobile payment system design in 2000.
- Led strategic initiatives including American Dairy/Feihe's NYSE listing and WindInfo equity transaction.